**Organometallic Chemistry and Homogeneous Catalysis** 

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## **Hydrogenation**

The hydrogenation of olefins, impossible without catalyst, can be catalyzed by numerous transition-metal complexes.



1939, Iguchi – hydrogenation of organic compounds using Rh(III) Further the results reporting about application of nearly all d-elements in hydrogenation were published

Most active appeared to be complexes of Co, Rh and Ir

## 1) Wilkinson catalyst RhCl(PPh<sub>3</sub>)<sub>3</sub> (WC)



In 1956 two independent research groups reported about homogeneous catalytic hydrogenation of alkenes and alkynes with RhCl(PPh<sub>3</sub>)<sub>3</sub> in a mild conditions:  $T = 25^{\circ}C$ ,  $P_{H2} = 1$  atm, solvents: benzene, benzene/ethanol, THF, aceton, methanol



Hydrogenation takes place very selective – other groups as >C=O, -C=N, -NO<sub>2</sub>, -COOH, aryl do not undergo hydrogenation

 $RhCl(PPh_3)_3$  was the first highly active homogeneous hydrogenation catalyst and was discovered by Geoffrey Wilkinson (Nobel prize winner for ferrocene).

It was very simply prepared by reacting  $RhCl_3*3H_2O$  with excess  $PPh_3$  in EtOH:

 $RhCl_3*3H_2O + CH_3CH_2OH + 3PPh_3 \rightarrow RhCl(PPh_3)_3 + CH_3CHO + 2HCl + 3H_2O$ 

Depending on the nature of substrate the rate of the reaction decreases in the following order:





The rates of hydrogenation of alkenes by Wilkinson's catalyst mirror their relative binding affinity to the metal center. Differences in rate between the alkenes is about 50X. Alkenes that bind very tightly (i.e., ethylene) give slow hydrogenation rates, though.



>C=O bond is completely inactive; C=C bond inside the cycle is more hindered than C=C bond out of the cycle, so it is hydrogenated with a 99% selectivity



When both hexyne-1 and octene-1 are present in the hydrogenation with  $RhCl(PPh_3)_3$ , only hexyne-1 will undergo the hydrogenation. Only when all hexyne-1 is hydrogenates to hexene-1 the mixture of hexene-1 and octene-1 starts to react with H<sub>2</sub>



Because of more than 1000-fold difference in the equilibrium constants we can take into account only first equilibrium



In a new complex  $PPh_3$  ligand is trans to hydride ligand, so due to the trans-effect it can more easy be changed to the other (alkene) ligand:



**The mechanism** involves three reversible steps: oxidative addition of H<sub>2</sub>, substitution by the olefin of PPh<sub>3</sub> that is labilized in *trans* position *vs.* the hydride ligand (*trans* effect), insertion of the olefin to Rh-H bond. The last step, reductive elimination of the alkyl and hydride ligands to yield the alkane, is totally irreversible, and has allowed to successfully use this system for asymmetric catalysis









A ligand effect for the hydrogenation of **cyclohexene** with WC:



Ligand: Relative reactivity: (4-ClC<sub>6</sub>H<sub>4</sub>)<sub>3</sub>P 1.7 Ph<sub>3</sub>P 41 (4-CH<sub>3</sub>C<sub>6</sub>H<sub>4</sub>)<sub>3</sub>P 86 (4-CH<sub>3</sub>OC<sub>6</sub>H<sub>4</sub>)<sub>3</sub>P 100

The catalytic efficiency of other catalysts similar to WC (TOF - the turn over frequency)

Catalyst	Temp °C	Solvent	TOF (hr <sup>-1</sup> )		
			1-hexene	cyclohexene	Me <sub>2</sub> C=CMe <sub>2</sub>
[lr(cod)(PCy <sub>3</sub> )(py)] <sup>+</sup>	0	CH <sub>2</sub> Cl <sub>2</sub>	6.400	4.500	4,000
[lr(cod)(PMePh <sub>2</sub> ) <sub>2</sub> ] <sup>+</sup>	0	CH <sub>2</sub> Cl <sub>2</sub>	5,100	3,800	50
[lr(cod)(PMePh <sub>2</sub> ) <sub>2</sub> ] <sup>+</sup>	0	acetone	10	0	0
[Rh(cod)(PPh <sub>3</sub> ) <sub>2</sub> ] <sup>+</sup>	25	CH <sub>2</sub> Cl <sub>2</sub>	4,000	10	0
HRuCl(PPh <sub>3</sub> ) <sub>3</sub>	25	C <sub>6</sub> H <sub>6</sub>	9,000	7	0
RhCl(PPh <sub>3</sub> ) <sub>3</sub>	25	C <sub>6</sub> H <sub>6</sub> /EtOH	650	700	0
RhCl(PPh <sub>3</sub> ) <sub>3</sub>	0	C <sub>6</sub> H <sub>6</sub> /EtOH	60	70	0



The weak ligand bonding of the OH group on the substrate directs one specific side of the alkene to coordinate to the metal center in order to form an alkene-OH chelate to the Ir.



positive charge on Ir is important to attract the partial negatively charged OH group. One also needs the presence of an empty orbital

Group binding affinities: amide > OH > OR > ester ~ ketone

Amine groups bind too strongly and inhibit catalysis. Rigid structures with stronger chelates, like the norbornene ligand shown to the right, are also poor substrates.

## 2) Pentacyanocobaltat Co(CN)<sub>5</sub><sup>3-</sup>

1942, Iguchi. Solvent: water solution of HCI

This catalyst is completely inactive towards unconjugated bonds (ordinary alkenes do not undergo hydrogenation). Only C=C bonds conjugated with C=C, C=O, C=N, Ph etc. undergo hydrogenation



Note: only C=C bond undergoes hydrogenation

Reaction conditions: T=25°C, P=1 atm

Disadvantage: most of substrates are insoluble in water (aq. HCI). Using of similar catalysts soluble in organic media requires more severe conditions (T>100°C,  $P_{H2}$ =30 atm)

Mechanism:

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The reaction starts with homolytic cleavage of H-H bond:

 $2Co(CN)_{5^{3-}} + H_{2} \longrightarrow 2HCo(CN)_{5^{3-}}$ 

Usually we regard hydrogen as a hydride ligand H<sup>-</sup>, so the oxidation state of cobalt should be increased from +2 to +3. But it was shown inpolarographic experiments that oxidation state of cobalt is +2. It means that hydrogen exists not as an ion but as stabilized atom  $CH_3-CH_2-CH=CH_2$ 



Depending on the CN<sup>-</sup>/Co<sup>2+</sup> ratio different products can be formed:

- 1)  $CN^{-}/Co^{2+} \ge 5:1$  main product is 1-butene (~90%)
- CN<sup>-</sup>/Co<sup>2+</sup> < 5:1 main product is *trans*-2-butene (~85%) in both cases only small (~5%) amount of *cis*-2-butene forms



3) Lanthanide Hydrogenation Catalysts

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## MECHANISM INVOLVING $\sigma\text{-}\mathsf{BOND}$ METATHESIS

Tobin Marks reported the extraordinary activity of  $(Cp_2^*LuH)_2$  for the hydrogenation of alkenes and alkynes. The monometallic complex catalyzes the hydrogenation of 1-hexene with a TOF = 120,000  $\tilde{h}^{-1}$  at 1 atm H<sub>2</sub>, 25°C!! This is one of the most active hydrogenation catalysts known.



Lanthanide complexes such as  $[LuCp_{2}^{*}(H)]$  are extremely active catalysts for thehydrogenation of terminal olefins. First, the olefin coordinates onto the metal center, then insert into the Lu-H bond to give a Lu-alkyl intermediate. Then, the reversible addition of H<sub>2</sub> onto the d<sup>0</sup>-metal center gives a transitory dihydrogen complex that cannot undergo oxidative addition of H<sub>2</sub> in the absence of *d*-electrons on Lu. Thus, this formation of the Lu-H<sub>2</sub> species is followed by  $\sigma$ -bond metathesis irreversibly giving the alkane and a Lu-H species.



### 4) Ionic Hydrogenation

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Ryori Noyori was a recipient of the 2001 Nobel Prize for his development and application of the BINAP ligand. Using Ru(II) in place of Rh(I) resulted in a more general catalyst that could hydrogenate ketones and imines as well as alkenes.



This catalyst system has been commercialized for the synthesis of (*R*)-1,2propanediol, which is used as a precursor for the synthesis of the antibiotic levofloxacin.



The Noyori catalyst is believed to follow ionic mechanism in the reduction of carbonyls and imines. In an ionic mechanism H<sub>2</sub> is added as H<sup>+</sup> and H<sup>-</sup>, rather than by a migratory insertion process. This mechanism is particularly prevalent in the reduction of ketones and imines that can serve as proton acceptors.

Ru has a strong tendency to perform a heterolytic activation of H<sub>2</sub> instead of oxidative addition to make a metal dihydride. This can occur either via hydrogenolysis or heterolytic cleavage mechanisms, giving the same net result:



Shown below is a proposed catalytic cycle for Ru(+2) catalyzed hydrogenation (Note that there is no change in oxidation state of the Ru(+2)):



The drug naproxen is a member of the class of 2-arylpropionic acids. (*S*)-naproxen, is one of the world's largest-selling prescription drugs. It is sold as the pure (*S*)-isomer because the (*R*)-isomer is a liver toxin. Catalyst: (*S*)-BINAP Ru(II) chloride. The optical yields of 96–98%:



## **Asymmetric Hydrogenation**

The first example of this was reported in 1968 by Bill Knowles and coworkers at Monsanto for the asymmetric hydrogenation of α-acetamidocinnamatic acid to produce L-Dopa, an important pharmaceutical for the treatment of Parkinson's disease.



This seminal work by William Knowles was recognized with the Nobel prize in 2001.

Coordination of a prochiral alkene to a transition metal center produces a pair of enantiomeric alkene complexes that are converted to enantiomeric hydrogenation products. If the starting organometallic complex is chiral, though, the alkene complexes are diastereomers of each other.



DIPAMP is chiral at phosphorous. Other ligands, which have chiral backbones have become more popular because of their ease of synthesis from optically pure organic precursors:







#### Asymmetric Hydrogenation Ligand/Catalyst Kit



#### Synthesis of L-Dopa

The hydrogenation reaction is carried out with a substituted cinnamic acid. The acetamido group is of particular importance because it functions as a secondary complexation function in addition to the alkene functionality.



In the first step the alkene co-ordinates to the cationic rhodium species (containing an enantiopure phosphine DIPAMP with the chirality at phosphorus carrying three different substituents, Ph, o-An, CH<sub>2</sub>)





#### Kinetic studies

Detailed studies (Halpern and Brown) have revealed that the most stable intermediate of the two alkene adducts is not theone that leads to the major observed enantiomeric product:



This means that the less stable intermediate alkene complex reacts faster in the subsequent reactions. The next step in the hydrogenation sequence involves the oxidative addition of dihydrogen to the alkene complex.



The oxidative addition of  $H_2$  is irreversible, and provided that no dissociation of the alkene occurs, this step determines the enantioselectivity:



In the examples studied, neither the dihydride intermediates nor the alkyl intermediates have been observed and therefore it seems reasonable to assume that addition of  $H_2$  is also the rate-determining step. The minor diastereomer reacts with  $H_2$  ca. 1000 times faster than the major diastereomer.

The enantioselectivity depends not only on the phosphine structure, but also on the structure of the enamide substrate, especially the  $\alpha$ -substituent, as was already reported by Knowles. In a few substrates the enantioselectivity even reverses! These exceptions to the general rule have found explanation in electronic effects. Knowles reported that an electron withdrawing substituent at the  $\alpha$ -position was important in order to obtain a high enantioselectivity:



When the α-substituent is an ester and (SS)-DuPHOS is used one obtained the S-enantiomer of the amide. An electron releasing group (t-butyl) in this instance completely reverses the chirality!

The explanation is that for electron-withdrawing  $\alpha$ -substituents rhodium forms an  $\alpha$ -alkyl bond, while for electron releasing  $\alpha$ -substituents rhodium forms a  $\beta$ -alkyl benzylic bond:





It should be noted that not the final stabilities, but rather the intermediates and transition states determine which isomer is formed. In the precursor alkene complex calculations show that already the respective  $\alpha$  and  $\beta$  carbon atoms occupy the positions closest to the plane of coordination and that the respective barriers in both cases are indeed the lowest in the model studied.

# **Polymerization**



The two largest production:

Polyethylene

Polypropylene

Mainly by heterogeneous process until the early 1990 (use of homogeneous metallocene catalysts)

Polymers and oligomers:

Typical "polymer properties" appear at » 1000 monomer units

- \* more for small apolar monomers (polyethene)
- \* less for large polar monomers (polyester)

"Oligomers" consist of 5-50 units. This is the region where separation of individual components is difficult.

Oligomers are mostly used as "performance chemicals" (synthetic detergents, fuel additives)





<u>Mechanism</u> of metal-catalyzed polymerization:



Initiation: generating the active catalyst from a less active catalyst precursor



Propagation: the polymer chain growth portion of the reaction that occurs over and





In a good polymerization catalyst the initiation step generates as much active catalyst as possible and the propagation step occurs as often as possible, while the termination step is unlikely to happen

A Living Catalyst is typically a polymerization catalyst in which all the transition metal complexes present are the same and already in an active catalytic state (no initiation step). When the substrate is added all the catalyst molecules start the polymer chain growing steps at the same time and same rate. This means that at any point the polymer chains growing off each and every catalyst are all essentially the same length. This leads to polymers that have a  $M_w/M_n$  value very close to 1.0

#### Molecular weight distribution

 $M_i$  = mol wt of polymer i

- $N_i$  = number of molecules of this polymer
- <u>Number-average</u>:  $M_n$  is the simple average of total mass of the chains divided by the number of chains:

$$\overline{M}_n = \frac{\sum_i N_i M_i}{\sum_i N_i}$$

 <u>Weight-average</u>: The weight average 'molecular weight M<sub>w</sub> is the summation of the square of the molecular weights divided by the summation of the molecular weights of all the molecules present.

$$\overline{M}_{w} = \frac{\sum_{i} N_{i} M_{i}^{2}}{\sum_{i} N_{i} M_{i}}$$

In Mw more "weight" is provided to the to the higher molecular weight polymers, while  $M_n$  treats all of them the same. The basis for  $M_w$  is that the larger molecules contribute more to the properties of the polymer so they should have more importance.  $M_w$  is always greater than  $M_n$  and the narrower the distribution, the closer  $M_n$  and  $M_w$  are.



The ratio of  $M_w$  to  $M_n$  is a measure of the distribution of different length polymer chains. This ratio is referred to as the polydispersivity:

$$Q = \frac{\overline{M}_w}{\overline{M}_n}$$

<u>Polydispersity</u> depends on the process and the operating conditions, the catalyst , but is often independent of molecular weight.

As the distribution narrows, the dispersivity approaches a minimum value of 1.0. Such a polymer referred to as mono disperse. Alternately as  $M_w/M_n$  for a polymer increases (10 or 20) it is a referred to as poly-disperse. A standard (narrow) statistical  $M_w/M_n$  polymer distribution is equal to 2.0.



These two materials have the same weight average molecular weight but different breadths of MWD



#### Metal-centered olefin polymerization

Basic mechanism:



2+2 addition is normally "forbidden".

"Allowed" here because of asymmetry in M-C bond:

- Empty acceptor orbitals at M
- Polarity  $M^{\delta^+}C^{\delta^-}$  bond
- *d*-orbitals at  $M \Rightarrow$  easier to form small CMC angles

Requirements for an active catalyst

- M-C or M-H bond (can be formed in situ)
- Empty site or labile ligand (anion)
- Highly electrophilic metal center
- No easily accessible side reactions
- For stereoregular polymerization: fairly rigid metal environment







## 2. Polypropylene



Because of its ordered structure, an isotactic polymer has *higher m.p.* and *tensile strength* than the atactic polymer.

Typically, the m.p.: isotactic polymers = 165 - 171°C, isyndiotactic polymers = 182°C atactic polymers = < 0 °C (for atactic polypropylene usually 90-110°C).
### CATALYSTS FOR POLYETHYLENE and POLYPROPYLENE

Heterogeneous catalysts

1.Phillips Catalyst (CrO<sub>3</sub> on silica)

2.Union Carbide Catalyst

Silica + chromocene  $\rightarrow$  silica supported catalyst



### 3.Ziegler Catalyst

A.TiCl<sub>4</sub> + trialkylaluminum compound in organic solvent

(colloidal  $\rightarrow$  heterogeneous)

### B.TiCl<sub>3</sub> on solid support

For both chromium- and titanium-based catalysts, choice and pretreatment of the supported catalyst as well as other process parameters <u>determine</u> the molecular weights, distribution of the molecular weights, and the extent of branching of the polymer chains  $\rightarrow$  Physical properties



#### Three generations of catalysts

#### 1. TiCl<sub>4</sub>/organoaluminum compound

 $TiCl_3$  from the reduction of  $TiCl_4$  by the organoaluminum compound

TiCl<sub>3</sub> exists in 4 crystalline forms:  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  (polymorphs)

 $\beta$  form (purple) has a chain structure, the others (brown) are layers.

 $\alpha,\gamma,\delta$  give high tacticity,  $\beta$  does not.

Reduction of TiCl<sub>4</sub> by organoaluminum compound:

 $6\text{TiCl}_4 + 2\text{AlEt}_3 \rightarrow 6\text{TiCl}_3 + 2\text{AlCl}_3 + 3\text{C}_2\text{H}_6 + 3\text{C}_2\text{H}_4$ 

 $6\text{TiCl}_4 + 3\text{AlEt}_2\text{Cl} \rightarrow 6\text{TiCl}_3 + 3\text{AlCl}_3 + 3\text{C}_2\text{H}_6 + 3\text{C}_2\text{H}_4$ 

#### 2. TiCl<sub>4</sub>/organoaluminum/promoter

Monoethyl reagent,  $AIEtCI_2$ , poisons the catalyst by blocking the coordination site. Promoters such as ethers, esters, etc. are added to destroy  $AIEtCI_2$  and to prevent its formation by reactions:

 $AlEt_2Cl + AlCl_3 \rightarrow 2AlEtCl_2$ 

 $2AlEtCl_2 + R_2O \rightarrow AlCl_3 \cdot OR_2 + Et_2AlCl$ 



#### 3. TiCl<sub>3</sub> supported on MgCl<sub>2</sub>/organoaluminum/promoter

Highly effective: 30,000 g of polypropylene per gram of catalyst per hour could be achieved with an isotacticity index of 96–99%.



The mechanism proposed for the polymerisation on solid titanium chloride catalysts is essentially the same for all catalysts and it is usually referred to as the Cossée-Arlman mechanism



Titanium is hexa-coordinated in the TiCl<sub>3</sub> (or supported catalysts) by three bridging chloride anions (indicated by dashed Ti atoms), one terminal chloride anion, and one terminal chloride that is replaced by an alkyl group by the alkylating agent ( $Et_2AICI$  or  $Et_3AI$ ), and a vacancy that is available for propene co-ordination



The *transition state* for the Cossee–Arlman mechanism:





The two *intermediates* for the Green-Rooney mechanism:



It is difficult to determine the transition state or intermediates in heterogeneous Ziegler-Natta catalysis  $\Rightarrow$  difficult to decide what mechanism (mechanism Cossee-Arlman or Green-Rooney Mechanism) is the true mechanism.

If the migratory insertion mechanism was working, one should *NOT* get any scrambling of the deuteriums and hydrogens in the polyethylene chain (easily confirmed by <sup>1</sup>H and <sup>2</sup>H NMR). However, if the polymerization was working by the Green-Rooney metallacycle mechanism, the  $\alpha$ -hydride elimination and subsequent H-alkyl reductive elimination steps would lead to some scrambling of the H and D atoms.



But no H/D scrambling was observed, leading to conclusion that the polymerization was NOT working via the alkylidenemetallacycle mechanism, supporting the simpler migratory insertion pathway Summary about commercial Ziegler-Natta catalysts:

- High-surface TiCl<sub>3</sub> formed *in situ* from TiCl<sub>4</sub> and Al alkyl
- TiCl<sub>3</sub> on support (e.g. MgCl<sub>2</sub>), prepared in a complicated process starting e.g. from TiCl<sub>4</sub> and Mg(OAr)<sub>2</sub>.

#### Nature of active site not very clear.

Chain transfer is slow  $\Rightarrow$  high MW H<sub>2</sub> or temperature used to control MW:

 $M-R+H_2 \longrightarrow M-H+RH$ 

Errors in Ziegler-Natta catalysts:



 $\Rightarrow$  broad MWD (Q = 5-30)

Catalyst productivity > 106 g/g Ti/hr

 $\Rightarrow$  catalyst residue removal not needed.





#### Metallocene Catalyst

Background Homogeneous catalysts discovered in 1980s \$5 billions investment + 1500 patent 0.5 mln tones of polypropylene a year in 2003



The development of the new family of homogeneous catalysts based on biscyclopentadienyl Group 4 metal complexes for the stereoselective polymerisation of alkenes is mainly due to Kaminsky, Ewen and Brintzinger.

In 1980 Kaminsky reported on an extremely fast homogeneous catalyst for the polymerisation of ethene formed from the interaction of  $Cp_2Zr(CH_3)_2$  and  $(CH_3AIO)_n$ 



MAO



The general formula of MAO is given by  $Me_2AI-[O-AIMe]_n$ -OAIMe<sub>2</sub>, where *n* is between 5 and 20.

H2O/AIMe3 → MAO



MAO to generate coordinatively unsaturated intermediates:



#### Advantages of Metallocene catalysts over Zieglar-Natta catalysts



1. Polymerize a very wide variety of vinyl monomers irrespective of their molecular weights and steric features.

2. Produce extremely uniform homopolymers and co-polymers (Ziegler–Natta catalyst, with nonidentical coordination environments around the catalytically active metal centers, give polymers with broad molecular-weight distributions.)

3. The predominant mechanism for chain termination is by  $\beta$ -hydride elimination. This produces a vinyl double bond at the end of each polymer chain.

4. The most important feature of the metallocene catalysts is their ability to produce highly stereoregular polymers  $\rightarrow$  choices of ligands

The "trick" of metallocenes - discrimination between chain positions ("site control")





# One "real" catalyst





# Preferred alkyl chain orientation





# "Wrong" chain orientation





## **Preferred olefin orientation**





# "Wrong" olefin orientation







After every insertion, the chain moves to the other site at Zr



- Chain preferences the same (i.e. related by 2-fold axis)
  ⇒ isospecific polymerization
- Chain preferences opposite (i.e. related by mirror plane)
  ⇒ syndiospecific polymerization
- One site with a strong preference, the other without preference ⇒ hemi-isotactic polymerization

Summary about metallocene catalysts:

- Difficult to get MW as high as Zieglar-Natta catalysts
- H<sub>2</sub> has same effects as in <u>Zieglar-Natta</u> catalysis
- Narrow MWD (Q  $\approx$  2)
- Rates can be extremely high (> 10<sup>7</sup> g/g Zr/hr)

### Polymerization at LTM centers • Mostly low MW, but with "hard" donors MW can be higher. • Isomerization ("chain running"). • Lower sensitivity to functionalized olefins. $P_{e} \rightarrow P_{e} \rightarrow P_{e}$

(MeO)<sub>3</sub>

Brookhart

Me<sub>3</sub>S

SiMe<sub>3</sub>

Fink

#### Problem:

- Easy chain transfer by  $\beta$ -elimination because

M-H bond stable (relative to M-C bond).



- Low MW (dimers or oligomers instead of polymers)

Good example: Brookhart system (1995)

These catalysts operate via the simple Cossee alkene addition and migratory insertion mechanism.



More compatible with functionalized olefins(!)





An unusual aspect of Brookhart's Ni and Pd polymerization catalysts was that they could produce highly branched polyethylene, with the amount of branching controlled in large part by the ethylene pressure. Mechanistic studies indicated that facile  $\beta$ -hydride eliminations on these catalysts under lower ethylene pressures enabled a "chain-walking" isomerization process shown below. This leads to branching (sometimes extensive) of the growing polymer chain. Under higher ethylene pressures, the empty coordination site needed for  $\beta$ -hydride elimination is more rapidly filled by an ethylene leading to more linear chain growth.

